

FBSI-25,93

COPY OF PAPERS
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Roehrs, Daniel C. Roehrs and Bryan D. Cull
 Appl. No.: 09/986,639
 Filed: November 6, 2001
 Grp./A.U.: 2874
 Title: FIBER OPTIC CONNECTOR HAVING TRANSLATING TERMINI

Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on <u>MAY 28, 2002</u>	
(Date of Deposit)	
John J. Arnott (39,095)	
(Name of Person Mailing Document)	
<u>John J. Arnott</u>	
(Signature)	
<u>5/28/02</u>	
(Date of Signature)	

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OFFICE OF PETITIONS

SUBMISSION OF MISSING PARTS
OF APPLICATION UNDER 37 C.F.R. 1.53

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:


1. Copy of Form PTO-1533 - Notice to File Missing Parts of Application.
2. Declaration and Power of Attorney, executed by applicants Daniel C. Roehrs and Bryan D. Cull on May 28, 2002.
3. Petition for Filing on Behalf of a Non-Signing Joint Inventor Under 37 C.F.R. 1.47, in regard to remaining applicant Michael R. Roehrs, and accompanying Declaration in Support by Daniel C. Roehrs.
5. 20 sheets of substitute drawings in compliance with 37 C.F.R. 1.84.

6. Notification of Extension.
7. A check in the total amount of \$1,354.00 to cover: the filing fee (37 C.F.R. 1.16(a) et seq.) of \$439.00; the surcharge set forth in 37 C.F.R. 1.16(e) of \$65.00; the extension fee pursuant to 1.136(a) for four months extension of \$720.00; and a Petition fee under 37 C.F.R. 1.47 of \$130.00.

Applicant hereby repeats its previous assertion of entitlement to small entity status.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/FBSI-25,939 of HOWISON, THOMA & ARNOTT, L.L.P.

Respectfully submitted,
HOWISON, THOMA & ARNOTT, L.L.P.
Attorneys for Applicants


John J. Arnott
Registration No. 39,095

JJA:keb

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May 28, 2002

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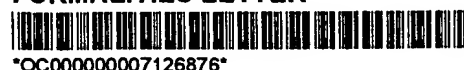
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,639	11/06/2001	Michael R. Roehrs	FBSI-25,939

CONFIRMATION NO. 5614

25883

HOWISON, CHAUZA, HANDLEY & ARNOTT L.L.P.
P.O. BOX 741715
DALLAS, TX 75374-1715

FORMALITIES LETTER



OC000000007126876

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JUN 18 2002

OFFICE OF PETITIONS

Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$138.
 - \$54 for 3 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1008.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

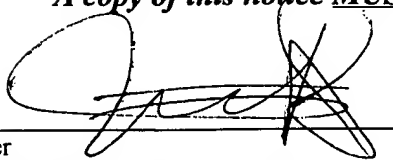
- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/13/2002 AWONDAF1 00000140 09986639

02 FC:201	370.00	30
03 FC:205	65.00	00
04 FC:202	48.00	00
05 FC:203	27.00	00

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, consisting of a large loop followed by several horizontal strokes and a final vertical stroke.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1354.00

Complete if Known

Application Number	09/986,639
Filing Date	11/06/2001
First Named Inventor	Michael R. Roehrs
Examiner Name	To Be Assigned
Group Art Unit	2874
Attorney Docket No.	FBSI-25,939

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

20-0780/FBSI-25,939

Deposit
Account
Name

Howison, Thoma & Arnott L.L.P.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	9.00	27.00
4	-3** = 1	42.00	42.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 69.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	720.00
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 915.00

SUBMITTED BY

Name (Print/Type)	John J. Arnott	Registration No. (Attorney/Agent)	39,095	Telephone	972-680-6059
Signature	<i>John J. Arnott</i>	Date	5/28/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.